

EMPLOYMENT HISTORY:

2007-present

- Advised on establishment of private trust companies in New York and Bermuda.
- Developed business plan for start-up marketing company.
- Consulted on establishing RIA business, operating platform and regulatory requirements.
- Qualified CTFA and Series 65.
- MBA – New York University, Stern School of Business
 - Specializations: Leadership, Management, and Global Business.

HSBC 2000-2007

Head of Private Trust

HSBC International Trustee Limited, Cayman Islands - May 2006 to May 2007

- Led a team of 16 trust relationship managers with over \$4 billion under administration.
- Built relationships with clients, third-party intermediaries and professional advisers.
- Marketed trust services at Private Bank offices and client meetings.
- Presented capabilities and solutions to bankers and other professional advisers.
- Ensured effective administration of tax-compliant wealth transfer strategies including oversight of external tax preparation.
- Managed \$3 Million dollar budget including staffing, systems development and implementation plans and contingency planning.
- Reorganized business into geographically focused teams increasing Relationship Manager satisfaction and trust officer development.

Project Manager - Litigation

HSBC Financial Services (Cayman) Limited, Cayman Islands - October 2005 to May 2006

- Led trustee's legal team through \$1 billion trust litigation.
 - Solution required Chief Justice's approval in the High Court of reorganization of trusts in compliance with US Gift, Estate and GST taxes, and resolution of PFIC and CFC difficulties.
 - Mitigated future trustee litigation risk and secured long term relationships with all branches of client family.

Associate Director, Policies and Procedures, Global Wealth Solutions (GWS)

HSBC Private Bank (UK) Limited, London, United Kingdom - April 2004 to October 2005

- Worked with the Chief Executive of HSBC Private Bank's trust and fiduciary services group of 13 companies in 23 locations managing numerous intra-group strategy issues.
- Developed client intake documentation, pricing policy, KYC/AML policy and procedure development requiring a considerable cross cultural collaboration and understanding of law and regulation across multiple jurisdictions.
- Provided liaison between GWS Head Office, Private Bank Head Office, Marketing, Compliance, Group Legal and Group Audit.
- Implemented service level agreements to allow the segregation of functions across geographies to best serve clients and to allow Internal Audit to monitor performance.
- Developed unified marketing and presentation materials for GWS services.
- Provided training across the Private Bank on various issues and processes.
- Assessed acquisitions for suitability and risk.

Manager, Wealth Protection Solutions

HSBC Financial Services (Middle East) Limited, Dubai, UAE - December 2000 to April 2004

- Sold and marketed HSBC trust and estate planning services to UHNW and HNW families throughout the Middle East generating over \$2,000,000 of annualized recurring trust fees.
- Structured complex tax-compliant multi-jurisdictional trusts for UHNW individuals and families to hold a range of assets including investment portfolios, private company shares, real estate, aircraft and art work.
- Structured tax-compliant multi-jurisdictional charitable trusts.
- Provided Investment Manager Selection and Asset Allocation for UHNW families.
- Trained HSBC Relationship Managers in the uses and limitations of trusts (including Delaware trusts), foundations, companies and specialized insurance services.

Merrill Lynch 1994-2000

Trust Specialist

Merrill Lynch International & Co. C.V., Dubai, UAE - October 1999 to November 2000

- Sold and marketed Merrill Lynch trust services to clients and prospects through 90 Financial Advisers in 5 Middle East countries raising \$300,000 of annualized recurring trust fees.
- Structured tax-compliant trusts for HNW individuals and families.
- Trained Financial Advisers in the uses and limitations of trusts and companies.

Company Secretary / Senior Trust and Corporate Administrator

**Merrill Lynch Bank and Trust Company (Cayman) Limited ("MLBTC"), Cayman Islands
September 1994 to September 1999**

- Supervised incorporation (1,500 per year) and maintenance of over 8,000 companies for which MLBTC provided full corporate services.
- Provided services as director of 3 nominee companies and a family of 3 Merrill Lynch institutional LBO funds.
- Managed liaison with regulators, auditors and parent companies.

**Bank of Butterfield, Mutual Funds Administrator 1993-1994,
BankAmerica, Trust Administrator 1989-1990**

EDUCATION:

ACIS - Institute of Chartered Secretaries & Administrators, London, UK – Graduated 1997
(Evaluated by NYU as BA equivalent)

MBA - New York University, Stern School of Business
Specializations: Leadership, Management, and Global Business
Voted "Most Value Add to Class Discussions"
Honored for Outstanding Academic Achievement

PROFESSIONAL QUALIFICATIONS:

CTFA - American Bankers Association, Certified Trust and Financial Advisor

TEP - Member of the Society of Trust and Estate Practitioners

Series 65 - North American Securities Administrators Association

OTHER:

- Treasurer - Institute of Chartered Secretaries and Administrators - Cayman Islands branch.
- Founding Treasurer - STEP Arabia.
- U.S. Permanent Resident, Irish Citizen, Cayman Islands Citizen.