

Paul A. Zarowin, Director e-mail: blev@stern.nyu.edu

Seymour Jones, Associate Director e-mail: sjones@stern.nyu.edu

# **ROSS ROUNDTABLE**

# IN COOPERATION WITH NERA ECONOMIC CONSULTING

on

### **Getting Personal With Securities Litigation in 2014**

**Date/Time:** Monday April 7, 2014 / 5:00 pm to 7:00 pm

Location:New York University<br/>Leonard N. Stern School of Business<br/>44 West Fourth Street<br/>Kaufman Management Center<br/>Cantor Boardroom, Room 11-75 (Please note this room change.)Register at:Click Here (Please register by Sunday, March 23)

Contact: 212-998-4143 or email jill.seplowitz@stern.nyu.edu

#### **Roundtable Coordinators:**

David Tabak, NERA Economic Consulting Paul Zarowin, NYU Stern School of Business

#### Roundtable Overview:

One of the interesting recent trends in securities litigation is a focus on actions by individuals, including allegations of insider trading and claims of improper recommendations by brokers. As threats to class certification loom at the Supreme Court level, this Roundtable focuses on allegations that affect both individuals and classes both in small, individual cases and high-profile situations.

This Ross Roundtable, in cooperation with NERA Economic Consulting, brings together a panel of top experts from a range of perspectives and backgrounds to examine in-depth recent developments in shareholder litigation and regulatory enforcement, the impact of regulatory reform, and current academic research. Our panelists will present data and analysis relevant to current and future securities litigation as well as information from their personal experiences working on cases including the Mark Cuban insider trading case that went to trial in 2013.

### **Confirmed Roundtable Presenters:**

- Christopher J. Clark, *Partner*, Latham & Watkins, LLP
- Patrick Conroy, *Senior Vice President*, NERA Economic Consulting
- Erin McHugh, *Senior Consultant*, NERA Economic Consulting
- David P. Meyer, Founding Principal, Meyer Wilson Co., LPA
- Lindsay H. Tomenson, *Director and Associate General Counsel*, Citigroup Global Markets Inc.
- Angela A. Turiano, *Member*, Bressler, Amery & Ross, P.C.

### **CREDITS FOR CONTINUING PROFESSIONAL EDUCATION (CPE) AND CONTINUING LEGAL EDUCATION (CLE)**

Learning Objectives:	The Ross Roundtable provides a forum for "public opinion shapers" business writers, financial analysts, educators, corporate financial executives, regulators, etc to discuss with policymakers important developments in capital markets and the financial world. To provide ample opportunity for participants to voice their opinions, the size of the Roundtable is limited.
Delivery Method:	Group-live
Program Level:	Update
Prerequisites:	Applicable involvement in the business and/or academic experience in the subject matter.
No fee involved.	

No advance preparation needed.

To register for this course, contact Jill Seplowitz, Vincent C. Ross Institute of Accounting Research at 212.998.4143 or visit our website, <u>http://w4.stern.nyu.edu/ross</u>

**Complaint Resolution Policy:** For information regarding complaint and program cancellation policies, please contact our office at 212.998.4143

Earn 2 CPE credits (based on a 50 minute credit hour). There are 2 hours of general CLE credit available, pending approval.



New York University, Stern School of Business is registered with the National Association of State Boards of Accountancy (NASBA), as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN, 37219-2417. Web site: www.nasba.org