



## *New Challenges in Regulating Financial Markets*

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Featuring Keynote Speaker

**Professor Harvey J. Goldschmid**

Dwight Professor of Law, Columbia University

Former Commissioner, United States Securities and Exchange Commission

Citigroup Distinguished Fellow in Ethics and Leadership

Friday, March 24, 2006

New York University

Leonard N. Stern School of Business

Henry Kaufman Management Center

44 West Fourth Street

New York, New York 10012

Room 1-70

Sponsored by the Markets, Ethics and Law Program,

NYU Stern School of Business,

with support from the Citigroup Foundation

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### Agenda

- 9:30 – 10:00am**      **Registration and Coffee**  
(Lobby, Henry Kaufman Management Center KMC)
- 10:00 – 10:05am**      **Welcome Remarks (KMC 1-70)**
- 10:05 – 10:30am**      **Keynote Presentation: “New Challenges After Three Years of Securities Law Reform”**
- Harvey J. Goldschmid**  
Dwight Professor of Law, Columbia University  
Former Commissioner, United States Securities and Exchange Commission  
Citigroup Distinguished Fellow in Ethics and Leadership
- 10:45 – 12:15pm**      **Panel Discussion: “The Role of Exchanges” (KMC 1-70)**
- Moderator:**            **Ingo Walter**  
Seymour Milstein Professor of Finance, Corporate Governance and Ethics, NYU Stern School of Business
- Panelists:**            **Roel Campos**  
Commissioner, United States Securities and Exchange Commission
- Richard Ketchum**  
Chief Regulatory Officer, New York Stock Exchange
- Marc Lackritz**  
President, Securities Industry Association
- 12:15 – 1:45pm**      **Luncheon and Panel Discussion: “Challenges Ahead”**  
(Douglas B. and Joseph H. Gardner Commons)
- Moderator:**            **Martin Lipton**  
Founding Partner, Wachtell, Lipton, Rosen & Katz  
Chairman, the Board of Trustees, New York University
- Panelists:**            **Harvey J. Goldschmid**  
Dwight Professor of Law, Columbia University  
Former Commissioner, United States Securities and Exchange Commission  
Citigroup Distinguished Fellow in Ethics and Leadership
- John H. Biggs**  
Former Chairman, President and CEO, Teachers Insurance and Annuity Association, College Retirement Equities Fund,  
Former Citigroup Distinguished Fellow in Ethics and Leadership

**1:45 – 2:00pm**

**Special Remarks: “New Challenges in India”**

**M. Damodaran**

Chairman, Securities and Exchange Board of India

**1:45 – 3:15pm**

**Panel Discussion: “The Role of Research Analysts” (KMC 1-70)**

**Moderator:**

**Roy Smith**

Kenneth Langone Professor of Entrepreneurship and Finance  
NYU Stern School of Business

**Panelists:**

**William Kennedy**

Global Director, Citigroup Investment Research

**Helen S. Scott**

Professor of Law, New York University School of Law

**Annette Nazareth**

Commissioner, United States Securities and Exchange Commission