

Integrity of Financial Markets Conference

Agenda

10:00 – 10:30am	Registration (KMC* Lobby)
10:30 – 10:35am	Welcoming Remarks (KMC 2-60) Russell S. Winer Deputy Dean William H. Joyce Professor of Marketing, NYU Stern
10:35 – 10:45am	Introductory Remarks Charles O. Prince Chief Executive Officer, Citigroup
10:45am – 12:15pm	Panel Discussion: “On the Role of Regulators” (KMC 2-60)
Moderator:	William T. Allen Director, NYU Center for Law and Business Jack H. Nusbaum Professor of Law and Business, NYU Stern
Panelists:	• Richard Ketchum General Counsel, Citigroup Global Corporate and Investment Bank Former President, NASDAQ • Helen S. Scott Professor, NYU School of Law • Mark B. Goldfus Senior Vice President and Special Counsel, Merrill Lynch & Company
12:15 – 1:45pm	Luncheon & Keynote Speech (Douglas B. and Joseph H. Gardner Commons) Arthur Levitt Former Chairman, Securities and Exchange Commission Citigroup Distinguished Fellow in Ethics and Leadership
1:45 – 3:15pm	Panel Discussion: “On the Role of Financial Institutions” (KMC 2-60)
Moderator:	Roy C. Smith Kenneth G. Langone Professor of Entrepreneurship and Finance, NYU Stern Former Partner, Goldman, Sachs & Co.
Panelists:	• Eric Dinallo Head of Regulatory Affairs, Morgan Stanley Former Assistant Attorney General, New York State • David H. Tenney Co-Director, Americas Research, Goldman, Sachs & Co. • Lawrence Zicklin Clinical Professor of Business Ethics, NYU Stern

* Henry Kaufman Management Center