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NYU Stern's Ross Institute Sarbanes-Oxley Act Section 404 Forum provides a forum for "public opinion shapers" — business writers, financial analysts, educators, corporate financial executives, regulators, etc. — to discuss with policymakers important developments in capital markets and the financial world.

Course Level: Updated.

CPE Credits: 3 (based on a 50 minute credit hour).

Requirements: Adequate business and/or academic experience.



New York University  
Stern School of Business  
Vincent C. Ross  
Institute of Accounting Research

Invites you to:

## Sarbanes-Oxley Act Section 404: The Impact on Business

*Leaders from industry, regulation, the accounting profession, the investment community and academia will address the effects of Section 404 on public companies and the economy.*



### PANELISTS

Alan I. Annex, Partner  
Greenberg Traurig, LLP

Eli Bartov, Professor  
NYU Stern School of Business

Ray Beier, Partner  
PricewaterhouseCoopers LLP

Douglas Carmichael, Chief Auditor  
Public Company Accounting Oversight Board

Abby Joseph Cohen, Chief Portfolio Strategist  
Goldman, Sachs & Co.

James Feely, Vice President and CFO  
TDI Transistor Devices

Thomas Knudsen, Partner  
Ernst & Young LLP

Mark S. Lilling, CPA  
Audit Committee Consulting Team LLC

Richard Passov, Vice President and Treasurer  
Pfizer Inc.

Marti Subrahmanyam, Professor  
NYU Stern School of Business

Lynn Turner, Former Chief Accountant  
U.S. Securities & Exchange Commission



### MODERATOR

Seymour Jones, Clinical Professor, NYU Stern School of Business



A reception will immediately follow the forum.

Monday, May 2, 2005  
4:00 p.m.-7:00 p.m.

New York University Stern School of Business  
44 West Fourth Street, Room 5-50, New York, NY 10012

RSVP with the enclosed card by Friday, April 22  
or by e-mail to: [aallison@stern.nyu.edu](mailto:aallison@stern.nyu.edu).  
For more information, please call 212-998-4143.