

Investment Ethics: Today's Challenges

Featuring Keynote Speaker

Charles D. Ellis

Founder: Greenwich Associates

Citigroup Distinguished Fellow in Ethics and Leadership

Friday, March 23, 2007

New York University

Leonard N. Stern School of Business

Henry Kaufman Management Center

Room 1-70

Hosted by the Markets, Ethics and Law Program,
NYU Stern School of Business,
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Agenda

9:30	Registration and Coffee (KMC Lobby)
10:15	Welcoming Remarks (KMC 1-70)
10:20	Keynote Presentation: "Fairness and Free Markets" Charles D. Ellis Founder: Greenwich Associates, Citigroup Distinguished Fellow in Ethics and Leadership
10:45	Panel Discussion: "Challenges for Investment Management"
Moderator:	Bruce Buchanan C. W. Nichols Professor of Business Ethics NYU Stern School of Business
Panelists:	Joel Hasbrouck Professor of Finance Kenneth G. Langone Professor of Business Administration NYU Stern School of Business James Staley CEO JP Morgan Asset Management Ned Regan Former Comptroller State of New York
12:15	Luncheon and Discussion (Douglas B. and Joseph H. Gardner Commons)
Speaker:	John Bogle Founder Vanguard Funds

1:45

Panel Discussion: “Challenges on the Street”

Moderator: Ingo Walter
Director, Global Business Institute
Seymour Milstein Professor of Finance,
Corporate Governance and Ethics,
NYU Stern School of Business

Panelists: Gerald Rosenfeld
CEO
Rothschild North America
Member: Stern School Board of Overseers

Randolph A. Stuzin
Managing Director:
Business Selection and Conflicts Clearance
Goldman Sachs & Co.

Robert A. Marchman
Executive Vice President,
Market Surveillance
NYSE Regulation, Inc.