

Institutional Investors As Owners

Agenda

9:30 - 10:00am Registration and Coffee

(Lobby KMC, Henry Kaufman Management Center)

10:00 – 10:05am Welcoming Remarks (KMC 1-70)

William Allen

Director, NYU Center for Law and Business

Jack Nusbaum Professor of Law and Business, NYU Stern School of Business

Former Chancellor of the Delaware Court of Chancery

10:05 - 10:30am Keynote Presentation: "Strong Managers, Weak Boards, Passive

Owners: A Fair Description?"

John H. Biggs

Citigroup Distinguished Fellow in Ethics and Leadership Former Chairman, President and CEO, TIAA-CREF

10:45am - 12:15pm

Moderator:

Panel Discussion: "The Power of the Proxy" (KMC 1-70)

Ingo Walter

Director, Stern Global Business Institute

Seymour Milstein Professor of Finance, Corporate Governance and

Ethics, NYU Stern School of Business

Panelists: Lynn Turner

Managing Director of Research, Glass Lewis & Co.

Eric Roiter

General Counsel, Fidelity

Larry Zicklin

Clinical Professor of Business Ethics, NYU Stern School of Business

Former Managing Partner and CEO Neuberger Berman,

12:15 - 1:45pm Luncheon and Discussion:

"Regulation and the Institutional Investor"

(Douglas B. and Joseph H. Gardner Commons)

Moderator: William Allen

Speaker: Roel Campos

Commissioner, United States Securities and Exchange Commission

Speaker: Martin Liptor

Managing Partner, Wachtell Lipton, Rosen & Katz

1:45 - 3:15pm

Moderator:

Panel Discussion: "Institutional Activism" (KMC 1-70)

Roy C. Smith

Kenneth G. Langone Professor of Entrepreneurship and Finance,

NYU Stern School of Business

Former Partner, Goldman, Sachs & Co.

Panelists: B.A. (Dolph) Bridgewater

Senior Consultant, Corporate Governance, TIAA-CREF

Nell Minow

President and CEO, The Corporate Library

Sister Patricia Wolf Executive Director

Interfaith Center on Corporate Responsibility